02/30/05

PATENT COOPERATION TILATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24

Arlington, VA 22202 ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year)03 April 2001 (03.04.01)

International application No. PCT/US99/22510

International filing date (day/month/year)
29 September 1999 (29.09.99)

Applicant's or agent's file reference 19452A-2PC

Priority date (day/month/year)

30 September 1998 (30.09.98)

Applicant

SCHROEDER, Julian, I. et al

1.	The designated Office is h	nereby notified of its election mad	e:		
		with the International Preliminar			
		28 April 2000	(28.04.00)		
<i>;</i> ·	in a notice effecting	later election filed with the Intern	national Bureau on:		
2.	The election X was	; :		•	
	was	not .			
	made before the expiration Rule 32.2(b).	on of 19 months from the priority o	date or, where Rule 32 applic	es, within the time lim	it under
i					
			Authorized officer		

Form PCT/IB/331 (July 1992)

Facsimile No.: (41-22) 740.14.35

The International Bureau of WIPO 34, chemin des Colombettes

1211 Geneva 20, Switzerland

US9922510

Claudio Borton

Telephone No.: (41-22) 338.83.38

HOME COPY

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REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.



For receiving Office use only -

PCT/US 99 / 225 10

International Application No.

29 SEP 1999 (29.09.99)

PCT INTERNATIONAL APPEICATION ROVUS

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference (if desired) (12 characters maximum) 19452A-2P

		I 945ZA-ZFC		
Box No. I TITLE OF INVENTION INHIBITION OF FARNESYLTRANSFERA	SE ACTIVITY	IN PLANTS		
Box No. II APPLICANT				
Name and address: (Family name followed by given name; for a l designation. The address must include postal code and name of coun address indicated in this Box is the applicant's State (that is, country) of residence is indicated below.)	itry. The country of the	This person is also inventor.		
THE REGENTS OF THE UNIVERSITY O 1111 Franklin Street, 12th Floo		Telephone No.		
Oakland, California 94607-5200 United States of America	Facsimile No.			
		Teleprinter No.		
State (that is, country) of nationality: US	State (that is, country) of	residence: US		
	States except the	e United States the States indicated in the Supplemental Box		
Box No. III FURTHER APPLICANT(S) AND/OR (FURTH	IER) INVENTOR(S)			
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) This person is: applicant only				
SCHROEDER, Julian I. 5651 Taft Avenue		X applicant and inventor		
La Jolla, California 92037	:	[] spinstin and inventor		
United States of America		inventor only (If this check-box is marked, do not fill in below.)		
State (that is, country) of nationality: US	State (that is, country) of US	residence:		
This person is applicant all designated all designated the United States		e United States the States indicated in the Supplemental Box		
Further applicants and/or (further) inventors are indicated on	a continuation sheet.			
Box No. IV AGENT OR COMMON REPRESENTATIVE;	OR ADDRESS FOR C	ORRESPONDENCE		
The person identified below is hereby/has been appointed to act on of the applicant(s) before the competent International Authorities a	s: X 4	gent common representative		
Name and address: (Family name followed by given name; for a designation. The address must include postal code	legal entity, full official le and name of country.)	Telephone No.		
HYMAN, Laurence J.; WEBER, Kenne	th A.;	(415) 576-0200		
Two Embarcadero Center, 8th Floor	BASTIAN, Kevin L.	Facsimile No. (415) 576–0300		
San Francisco, California 94111-3834 United States of America		Teleprinter No.		
Address for correspondence: Mark this check-box where no space above is used instead to indicate a special address to wh	agent or common represe	entative is/has been appointed and the		

Sheet No. ...2..

Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)					
If none of the following sub-boxes is used, this sheet should not be included in the request.					
Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) PEI, Zhen-Ming Mesa Apartments Regents Road University of California San Diego La Jolla, California 92093 This person is: applicant only X applicant and inventor inventor only (If this check-box is marked, do not fill in below.)					
United States of America State (that is, country) of nationality:	State (that is, country) of residence:				
This	US				
for the purposes of: all designated all designated the United States	d States except tates of America The United States the States indicated in the Supplemental Box				
Name and address: (Family name followed by given name; for a designation. The address must include postal code and name of cou address indicated in this Box is the applicant's State (that is, country of residence is indicated below.)	legal entity, full official mitry. The country of the of residence if no State This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)				
State (that is, country) of nationality:	State (that is, country) of residence:				
This person is applicant all designated all designated for the purposes of:	I States except ates of America only the States indicated in the Supplemental Box				
Name and address: (Family name followed by given name; for a designation. The address must include postal code and name of cou address indicated in this Box is the applicant's State (that is, country of residence is indicated below.)	ntry. The country of the				
State (that is, country) of nationality:	State (that is, country) of residence:				
This person is applicant all designated all designate for the purposes of: States the United St	d States except the United States the States indicated in lates of America of America only the Supplemental Box				
Name and address: (Family name followed by given name; for a designation. The address must include postal code and name of cou address indicated in this Box is the applicant's State (that is, country of residence is indicated below.)					
State (that is, country) of nationality:	State (that is, country) of residence:				
This person is applicant all designated all designate for the purposes of:	d States except the United States the States indicated in the States of America only the Supplemental Box				
Further applicants and/or (further) inventors are indicated on another continuation sheet.					

Sheet No. ...3....

Box !	Box No. V DESIGNATION OF STATES					
The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):					licable check-boxes; at least one must be marked)	
	Regional Patent					
K	AP					
[\$]	EA	Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT				
· 🛛	EP	European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European				
X	. OA	Patent Convention and of the PCT OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)				
Nation	al Pate	ent (if other kind of protection or beatment desired, specify				
Z		United Arab Emirates				
1		Albania	Ø		Liberia ,	
×		Armenia	Ø		Lesotho	
23		Austria	Ø		Lithuania	
×		Australia	Ø		Luxembourg	
(Z)		Azerbaijan	Ø	-	Latvia	
Ø	BA	Bosnia and Herzegovina	X		Republic of Moldova	
Œ		Barbados	X		Madagascar	
Ø		Bulgaria	M	MIK	The former Yugoslav Republic of Macedonia	
Œ		Brazil				
Ø	BY	Belarus	X		Mongolia	
\mathbf{Z}		Canada	Ø		/ Malawi	
Ø		and LI Switzerland and Liechtenstein	Ø		Mexico	
Ø			İΖ		Norway	
Ø	CU Cuba					
X			X		Poland	
\S		Czech Republic	X	PT	Portugal	
1 27			A KO Komana			
Ø	EE	K) KO Kussian redetation				
×	ES	Series Sudan				
Ø	FI	My Se Sweden				
Ø	GB	United Kingdom	<u>N</u>	SG	Singapore	
Ø		Grenada	Š	SI	Slovenia	
ĺΣĪ	GE	Georgia	2		Slovakia	
鱼		Ghana	X	SL	Sierra Leone	
Æ		Gambia		TJ	Tajikistan	
Ø	HR	Croatia	.,	TD	Turkmenistan	
Ż	HU	Hungary			Turkey	
X	ID	Indonesia	区区	TT UA	Trinidad and Tobago	
Ø	IL	Israel			Ukraine	
Ø	IN	India	X		United States of America	
₽	IS	Iceland		00	Continuation	
Ø	JP	Japan	×	117.	Uzbekistan	
Σ	KE	Kenya	X	VN	Viet Nam	
2 1		Kyrgyzstan	$\widetilde{\boxtimes}$	YU	Yugoslavia	
Ż	KP	Democratic People's Republic of Korea	X	ZA	South Africa	
			Ž	zw	Zimbabwe	
X	KR	Republic of Korea				
[3]		Kazakhstan become party to the PCT after issuance of this sheet:				
Ø	LC	Saint Lucia Z CR Costa Rica Z TZ Tanzania				
S	LK	Sri Lanka	M	DM.	Dominica	
Dance	4.1					

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

Sheet No. 4

Supplemental Box

If the Supplemental Box is not used, this sheet should not be included in the request.

1. If, in any of the Boxes, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:

- (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below:
- (ii) if, in Box No. Il or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. II" or "Continuation of Box No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
- (v) if, in Box No. 1', the name of any State (or O.API) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. 1', the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. 1" and the name of each State involved (or O.API), and after the name of each such State (or O.API), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;
- (vi) if, in Box No. VI, there are more than three earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI;
- (vii) if, in Box No. VI, the earlier application is an ARIPO application: in such case, write "Continuation of Box No. VI", specify the number of the item corresponding to that earlier application and indicate at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed.
- 2. If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded.
- 3. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty: in such case, write "Statement concerning non-prejudicial disclosures or exceptions to lack of novelty" and furnish that statement below.

Continuation of Box No. V:

USSN 60/102,569 filed 30 September 1998 (30.09.1998) (30.09.98) Sheet No.

Box No. VI PRIORITY CLAIM		Further priority claims are indicated in the Supplemental Box.				
Filing date Number of earlier application of earlier application			Where earlier application is:			
of earlier application (day/month/year)	or ear	mer application	national application: country	regional application:* regional Office	international application: receiving Office	
(30.09.98) 30 September 1998 60/102,569			US			
item (2)						
item (3)		12000		·		
of the earlier applicat	tion(s) <i>(only i)</i>	f the earlier appl	smit to the International Bu ication was filed with the he receiving Office) identifi	Office which for the		
Where the earlier application	on is an ARIPO	annlication it is m	andatoni to indicate in the Su	nalamental Ray as large	(1) e country party to the Parts	
Convention for the Profestion	oj inaustriai P	EARCHING AU	iat earlier application was jile	d (Rule 4.10(b)(ii)). See Su	pplemental Box.	
Choice of International S	earching Aut	hority (ISA) Re	quest to use results of ear rch has been carried out by or	lier search; reference requested from the Internal	to that search (if an earlier tional Searching Authority):	
competent to carry out the the Authority chosen; the two-	nternational set letter code may	aren, indicale	lc (day/month/year)	•	Country (or regional Office)	
ISA / US						
Box No. VIII CHECK	LIST; LANC	GUAGE OF FIL	ING			
This international applicate the following number of s		This internation	al application is accompan	ied by the item(s) marke	ed below:	
request	5	1. X fee calcu				
description (excluding	33	. —	signed power of attorney			
sequence listing part) :	3	1	general power of attorney; It explaining lack of signatu	•	y:	
abstract :	1		locument(s) identified in B		•	
drawings :	3	i .	on of international applicati			
sequence listing part of description	0	1	indications concerning dep		other biological material	
		8. 🔲 nucleotid	le and/or amino acid sequer	nce listing in computer r	eadable form	
Total number of sheets			ecify): Transmittal	Letter & Ret	urn Postcard	
Figure of the drawings washould accompany the abs			inguage of filing of the ernational application:	English		
Box No. IX SIGNATURE OF APPLICANT OR AGENT						
Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).						
	TOWNSEND AND TOWNSEND AND CREW LLP					
			4	/	1	
				A. /	/	
			aure	ment X		
	Layrence J. Hyman					
1. Date of actual receipt of the purpoded Recd PCT/PTO 29 SEP 1999 2. Drawings:						
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:						
corrections under PCT	4. Date of timely receipt of the required corrections under PCT Article 11(2):					
5. International Searching Authority ISA/US 6. Transmittal of search copy delayed until search fee is paid.						
	For International Bureau use only					
Date of receipt of the record copy by the International Bureau:						

PCT



POWER OF ATTORNEY

(for an international application filed under the Patent Cooperation Treaty)

(PCT Rule 90.4)

The undersigned applicant(s) (Names should be indicated as they oppear in the request):
JULIAN I. SCHROEDER 5651 Taft Avenue La Jolla, California 92037
United States of America
hereby appoints (appoint) the following person as:
Name and address (Family name followed by given name: for a legal antique full official designation. The address must include natial cade and name of country)
(Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country.) HYMAN. Laurence J.: WEBER, Kenneth A.; BASTIAN, Kevin L.;
HYMAN, Laurence J.; WEBER, Kenneth A.; BASTIAN, Kevin L.; HEINES, M. Henry
TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 United States of America
to represent the undersigned before
the International Searching Authority only
the International Preliminary Examining Authority only
in connection with the international application identified below:
Title of the invention: INHIBITION OF FARNESYLTRANSFERASE ACTIVITY IN PLANTS
Applicant's or agent's file reference: 19452A-2PC
International application number (if already available): PCT/US99/22510
filed with the following Office <u>UNITED STATES PATENT AND TRADEMARK OFFICE</u> as receiving Office and to make or receive payments on behalf of the undersigned.
Signature of the applicant(s) (where there are several applicants, each of them must sign; next to each signature, indicate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading the request or this power):
JULIAN I. SCHROEDER
By:
Name: Tuliant. Shreeder
Title: Professor of Biology
000 200 700
Date:

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●PCT/US 99/22510' RO/US 29 NOV 1999

POWER OF ATTORNEY

(for an international application filed under the Patent Cooperation Treaty)

(PCT Rule 90.4)

The undersigned applicant(s) (Names should be indicated as they appear in the request):						
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA 1111 Franklin Street, 12th Floor Oakland, California 94607-5200 United States of America						
·						
hereby appoints (appoint) the following person as:						
Name and address (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country.)						
HYMAN, Laurence J.; WEBER, Kenneth A.; BASTIAN, Kevin L.; HEINES, M. Henry TWONSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 United states of America						
to represent the undersigned before XX all the competent International Authorities the International Searching Authority only the International Preliminary Examining Authority only						
in connection with the international application identified below: Title of the invention: INHIBITION OF FARNESYLTRANSFERASE ACTIVITY IN PLANTS						
Applicant's or agent's file reference: 19452A-2PC						
International application number (if aircady available): PCT/US99/22510						
filed with the following Office UNITED STATES PATENT AND TRADEMARK OFFICE as receiving Office and to make or receive payments on behalf of the undersigned.						
Signature of the applicant(s) (where there are several applicants, each of them must sign; next to each signature, indicate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading the request or this power): THE REGENTS OF THE UNIVERSITY OF CALIFORNIA By: Name: ALAN PAAU Title: DIRECTOR						
Date: (17 by 31, 1999)						

PCT



POWER OF ATTORNEY

(for an international application filed under the Patent Cooperation Treaty)

(PCT Rule 90.4)

The undersigned applicant(s) (Names should be indicated as they appear in the request):
PEI, Zhen-Ming Mesa Apartments Regents Road University of California San Diego La Jolla, California 92093 United States of America
hereby appoints (appoint) the following person as: XX agent Common representative
Name and address (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country.)
HYMAN, Laurence J.; WEBER, Kenneth A.; BASTIAN, Kevin L.; HEINES, M. Henry
TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 United States of America
to represent the undersigned before XX all the competent International Authorities
the International Searching Authority only
the International Preliminary Examining Authority only
in connection with the international application identified below:
Title of the invention: INHIBITION OF FARNESYLTRANSFERASE ACTIVITY IN PLANTS
Applicant's or agent's file reference: 19452A-2PC
International application number (if already available): PCT/US99/22510
filed with the following Office UNITED STATES PATENT AND TRADEMARK OFFICE as receiving Office and to make or receive payments on behalf of the undersigned.
Signature of the applicant(s) (where there are several applicants, each of them must sign; next to each signature, indicate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading the request or this power):
Zhen-Ming Pei
By: Then- Ming Per-
Name: ZHEN-MING PEI
Title: POST DUCTURAL FELLOW
Date: November 29, 1999

This sheet is not part of and does not count as a sheet of the international application.

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FEE CALCULATION SHEET

PCT/US 99/22/510

Annex to the Request	International application No.				
	2 9 SEP 1999 (29.09.99)				
Applicant's or agent's file reference 19452A-2PC	Date stamp of the receiving Office				
Applicant					
THE REGENTS OF THE UNIVERSITY OF CA	ALIFORNIA et al.				
CALCULATION OF PRESCRIBED FEES	- 0.46				
1. TRANSMITTAL FEE	240.00 T 246				
2. SEARCH FEE					
	70./110				
3. INTERNATIONAL FEE					
Basic Fee The international application contains 42 sheets.					
first 30 sheets	о ы 455				
17 15 x 10.00 120.					
remaining sheets additional amount					
Add amounts entered at b1 and b2 and enter total at B	575.00 B 605				
Designation Fees The international application contains max designations.	1050				
10×105.00	1050.00 D				
number of designation fees amount of designation fee					
payable (maximum 10)	1625.00 [] /655				
Add amounts entered at B and D and enter total at I (Applicants from certain States are entitled to a reduction of 75% international fee. Where the applicant is (or all applicants are) so entitle total to be entered at I is 25% of the sum of the amounts entered at B a	of the				
4. FEE FOR PRIORITY DOCUMENT (if applicable)	15.00 [7]				
5. TOTAL FEES PAYABLE					
Add amounts entered at T, S, I and P, and enter total in the TOTAL box TOTAL					
The designation fees are not paid at this time.					
MODE OF PAYMENT					
authorization to charge bank draft	coupons				
cheque cash	other (specify):				
postal money order revenue stamps					
DEPOSIT A CCOUNT A HTWO DIZATION (this works of payment may not be qualified at all receiving Officer)					
DEPOSIT ACCOUNT AUTHORIZATION (this mode of payment may not be available at all receiving Offices) The RO/ US X is hereby authorized to charge the total fees indicated above to my deposit account.					
(this check-box may be marked only if the conditions for deposit accounts of the receiving Office so permit) is hereby authorized to charge any deficiency or credit any overpayment in the total fees indicated above to my deposit account.					
•	paration and trapomittal of the priority document to the International				
20-1430 29 September 19	199 (James XX				
Deposit Account No. Date (day/month/year)	Signatury Laur nc J Hyman				
Form PCT/RO/101 (Annex) (January 1999; reprint July 1999)	See Notes to the fee calculation sheet				